

Irongate Financial Services, Inc. Privacy Statement

To Our Customers:

Irongate Financial Services, Inc. values you as a customer and respects your right to privacy. We recognize that you have placed your trust in us and we take the responsibility to preserve that trust. One way we endeavor to keep your trust is to properly handle your personal information.

We pledge to you that:

- Protection of your privacy is a top priority;
- Your account information and all documents you provide to us are protected in a secure environment;
- We only collect personal information in order to accomplish our customer commitments to you;
- Information about you is only used and shared in limited and controlled ways;
- In the event that we wish to share information about you with non-affiliated third parties, you will be given options concerning what information may be shared, and your privacy wishes will be respected. You may also choose to opt out of any information sharing.

Irongate Financial Services, Inc. maintains physical, electronic, and procedural safeguards to ensure that personal information we have about you is treated responsibly and in accordance with our privacy policy. We restrict access to information about you to only those representatives and employees who need to know that information in order to provide products and services to you or to conduct Irongate Financial Services' business. Representatives or employees who have access to the information may only use it for legitimate business purposes. In addition, we take steps to safeguard information about you in accordance with applicable data security regulations.

We collect personal information about you from these sources:

- Irongate Financial Services client intake forms, Geneos New Account Application form, applications for the purchase of various products, and other forms;
- Product vendors, as a result of your transactions with us; and/or,
- Depending on the product you are requesting to purchase, information received from consumer reporting agencies, medical providers or others.

We may disclose the following categories of information to entities that perform administrative services on our behalf or as required or permitted by law for legal, regulatory, or other purposes:

- Information you provide directly to us on the client intake forms, Geneos New Account Application form, applications or other forms;
- Information we receive about your transactions with us or with our product providers; and/or,
- If required for the products you purchase, information received from other agencies such as: consumer reporting agencies concerning your creditworthiness, motor vehicle and driver's license reports, medical and employment information, and loss reports.

We may disclose information about you to our staff, affiliates, representatives, their affiliated businesses, and third parties who provide you with financial products and services. Nonaffiliated third parties may include retirement plan sponsors or third party administrators, mutual fund companies, insurance companies and agencies, other broker-dealers, and clearing firms. Our privacy policy is the same for current, as well as former clients. If you close your account, in the process of transferring your investments we may share your information with the new broker-dealer or custodian that you or your representative selects. If our representative servicing your account leaves us to join another firm, the representative is permitted to retain copies of your information so that he or she can continue to serve you at the new firm. The representative is still required to keep your personal information confidential and may only use it to service your account. If you wish to opt out of having your representative take your personal information upon leaving Irongate Financial, please send a written request to:

Irongate Financial Services, Inc
6500 South Quebec Street, Suite 330
Centennial, CO 80111

A special note about medical or health information: While we might receive medical or health information from you at the time of application for various types of insurance, we do not use it or share it – internally or externally – for any purpose other than what is directly related to the administration of your policy, account, or claim, as required or permitted by law, or as you authorize us to do.

Irongate Financial Services, Inc. pledges to work to protect the security of your confidential information.

Investment Objectives – Definitions:

Speculation: Seeking a significant increase in principal value. Willing to accept a great degree of risk by investing in securities that have historically demonstrated a high degree of risk of loss of principal value to maximize returns.

Long Term Growth: Seeking to grow the principal value of the account over an extended period of time. Willing to invest in securities that have historically demonstrated a moderate to above average degree of risk of loss of principal value.

Tax Sheltered Income: Seeking securities that generate income while legally eliminating or reducing tax liabilities.

Growth and Income: Seeking to invest in securities to achieve a combination of both income with some capital appreciation with moderate risk.

Income: Seeking to invest in securities that have historically shown a low to moderate degree of risk of loss of principal value to receive increased income at the expense of possible growth of principal.

Safety of Principal: Seeking to maintain the principal value of the account through investments that have historically exhibited a very low degree of loss of principal value.